

# On Suborbital Graphs for A Special Congruence Subgroup

Yavuz Kesicioğlu<sup>1</sup>   Mehmet Akbaş<sup>2</sup>

<sup>1</sup>Recep Tayyip Erdoğan University, Rize, Turkey

<sup>2</sup>Karadeniz Technical University, Trabzon, Turkey

# Outline

1 Background

2 Our study

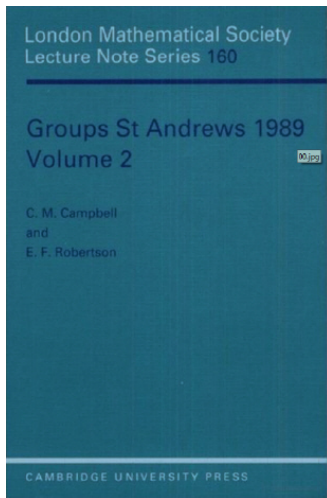
# Modular Group

$$T : z \rightarrow \frac{az+b}{cz+d} : a, b, c, d \text{ are real numbers, } ad - bc = 1$$

- $PSL(2, \mathbb{R}) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} ; a, b, c, d \in \mathbb{R}, ad - bc = 1 \right\}$
- $\Gamma := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} ; a, b, c, d \in \mathbb{Z}, ad - bc = 1 \right\} \rightarrow \text{Modular Group}$

- $\Gamma(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix}; a \equiv d \equiv 1 \pmod{n}, b \equiv c \equiv 0 \pmod{n} \right\}$
- $\Gamma_1(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix}; a \equiv d \equiv 1 \pmod{n}, c \equiv 0 \pmod{n} \right\}$
- $\Gamma_0(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix}; c \equiv 0 \pmod{n} \right\}$
- $\Gamma(n) \leq \Gamma_1(n) \leq \Gamma_0(n) \leq \Gamma \leq PSL(2, \mathbb{R})$
- $\Gamma(n) \triangleleft \Gamma, \Gamma(n) \triangleleft \Gamma_0(n), \Gamma(n) \triangleleft \Gamma_1(n), \Gamma_1(n) \triangleleft \Gamma_0(n)$

## Jones, Singerman, Wicks



## THE MODULAR GROUP AND GENERALIZED FAREY GRAPHS

G A JONES & D SINGERMAN

University of Southampton, Southampton SO9 5NH

K WICKS

University of Hull, Hull HU6 7RX

### 1. Introduction

The modular group

$$\Gamma = \text{PSL}(2, \mathbb{Z}) = \text{SL}(2, \mathbb{Z}) / \{\pm I\}$$

is the quotient of the unimodular group  $\text{SL}(2, \mathbb{Z})$  by its centre  $\{\pm I\}$ . Thus the elements of  $\Gamma$  are the pairs of matrices

$$\pm \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad (a, b, c, d \in \mathbb{Z}, ad - bc = 1); \quad (1.1)$$

we will omit the symbol  $\pm$ , and identify each matrix with its negative.

It is both traditional and useful to represent  $\Gamma$  as a group of Möbius transformations of the upper half-plane

$$\mathbb{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\},$$

with the element (1.1) acting by

$$z \mapsto \frac{az + b}{cz + d}. \quad (1.2)$$

For example, using the fact that this action of  $\Gamma$  is discontinuous, one can show that  $\Gamma$  is isomorphic to a free product  $C_2 * C_3$ ; more specifically,  $\Gamma$  is generated by the elements

$$X = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad Y = \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}. \quad (1.3)$$

with defining relations

This paper is organized as follows:

- $\Gamma$  acts transitively but imprimitively on  $\hat{\mathbb{Q}}$
- They summarize Sims' theory
- They obtained a graph  $G_{u,n}$  on which  $\Gamma$  acts
- They examine the Farey graph ( $G_{1,1}$ ) as a simplest case
- They focus a subgraph  $F_{u,n}$
- They found edge and circuit conditions respectively
- They give a conjecture that  $G_{u,n}$  is forest iff it contains no triangles



Jones, G.A., Singerman D. and Wicks, K.

The modular group and generalized Farey graphs.

LMS Lect. Note Ser., 60 (1991), 316-338.

Jones, Singerman, and Wicks used the notion of the imprimitive action for a  $\Gamma$ -invariant equivalence relation induced on  $\hat{\mathbb{Q}}$  by the congruence subgroup  $\Gamma_0(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma : c \equiv 0 \pmod{n} \right\}$  to obtain some suborbital graphs and examined their connectedness and forest properties. They left the forest problem as a conjecture, which was settled down by Akbaş.

We introduce a different  $\Gamma$ -invariant equivalence relation by using the congruence subgroup  $\Gamma_0^3(n)$  instead of  $\Gamma_0(n)$  and obtain some results for the newly constructed subgraphs  $F_{u,n}^3$ .

# Some papers concerning suborbitals graphs



Akbaş, M.

On suborbital graphs for the modular group.

Bull. London Math. Soc., 33 (2001), 647-652.



Keskin, R.

Suborbital graphs for some Hecke groups.

Discrete Mathematics, 9(3) (2001), 589-602.



# Sims Theory (Suborbital Graphs)

Let  $(G, \Delta)$  be transitive permutation group.  
 $G$  acts on  $\Delta \times \Delta$  by  $(g \in G; \alpha, \beta \in \Delta)$

$$g(\alpha, \beta) = (g(\alpha), g(\beta))$$

- \* The orbits of this action are called **suborbitals** of  $G$
  - \* The orbit containing  $(\alpha, \beta)$  is denoted by  $O(\alpha, \beta)$
- From  $O(\alpha, \beta)$  we can form a **suborbital graph**  $G(\alpha, \beta)$



Sims, C.C.

Graphs and finite permutation groups.

Math.Z., 95 (1967), 76-86.

- \* its vertices are the elements of  $\Delta$
- \* there is a directed edge from  $\gamma$  to  $\delta$  if  $(\gamma, \delta) \in O(\alpha, \beta)$  means that

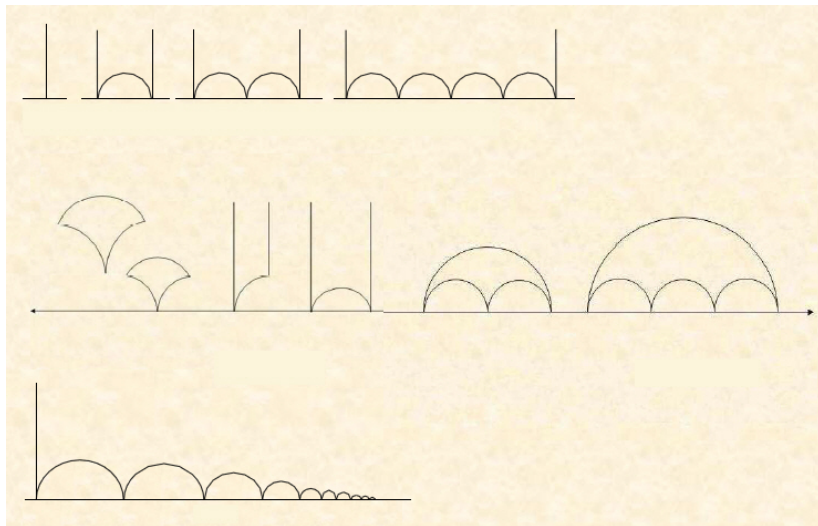
$$\gamma \rightarrow \delta :\Leftrightarrow T \in G \text{ such that } \begin{cases} T(\alpha) = \delta \\ T(\beta) = \gamma \end{cases}$$

If  $\alpha = \beta$ , the corresponding suborbital graph  $G(\alpha, \beta)$ , called the trivial suborbital graph, is **self-paired**: it consist of a loop based at each vertex  $\alpha \in \Delta$ .

By a **directed circuit of length  $m$**  (or a closed edge path) we mean a sequence  $v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_m \rightarrow v_1$  of different vertices where  $m \geq 3$ ; an anti-directed circuit will denote a configuration like the above with at least an arrow (not all) reversed.

- If  $m = 2$ , the circuit  $v_1 \rightarrow v_2 \rightarrow v_1$  will be called a **2-gon**.
- If  $m = 3$  or  $4$  then the circuit is called a **triangle** or **rectangle**.

A graph is called a **forest** if it contains no circuits other than 2-gons.



# Imprimitive Action

Let us give a general discussion of primitivity of permutation groups. Let  $(G, \Delta)$  be a transitive permutation group, consisting of a group  $G$  acting on a set  $\Delta$  transitively. An equivalence relation  $\approx$  on  $\Delta$  is called **G-invariant** if, whenever

$$\alpha, \beta \in \Delta \text{ satisfy } \alpha \approx \beta, \text{ then } g(\alpha) \approx g(\beta) \text{ for all } g \in G.$$

The equivalence classes are called **blocks**, and the block containing  $\alpha$  is denoted  $[\alpha]$ .

We call  $(G, \Delta)$  **imprimitive** if  $\Delta$  admits some  $G$ -invariant equivalence relation different from

- the identity relation,  $\alpha \approx \beta$  iff  $\alpha = \beta$ .
- the universal relation,  $\alpha \approx \beta$  for all  $\alpha, \beta \in \Delta$ .

Otherwise  $(G, \Delta)$  is called **primitive**. These two relations are supposed to be trivial relations.

## Theorem

Let  $(G, \Delta)$  be a transitive permutation group.  $(G, \Delta)$  is primitive if and only if  $G_\alpha$ , the stabilizer of  $\alpha \in \Delta$ , is a maximal subgroup of  $G$  for each  $\alpha \in \Delta$ .

\* We suppose that  $G_\alpha < H < G$ . Since  $G$  acts transitively, for  $g, h \in G$

$$g(\alpha) \approx h(\beta) \text{ if and only if } g^{-1}h \in H$$

is an imprimitive  $G$ -invariant equivalence relation.



Bigg, N.L.; White, A.T.,

Permutation groups and combinatorial structures.

London Mathematical Society Lecture Note Series, 33 (1979)

Subgraph  $F_{u,n}^3$ 

We apply these ideas to the case:

$$G_\alpha = \Gamma_\infty^3, H = \Gamma_0^3(n), G = \Gamma^3$$

$$\Delta = \hat{\mathbb{Q}} = \mathbb{Q} \cup \{\infty\}$$

$$\Gamma^3 = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma : ab + cd \equiv 0 \pmod{3} \right\}$$

$$\Gamma_0^3(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma^3 : c \equiv 0 \pmod{n} \right\}$$

$$\Gamma_\infty^3 = \left\langle \begin{pmatrix} 1 & 3 \\ 0 & 1 \end{pmatrix} \right\rangle$$

$$\Delta = \hat{\mathbb{Q}} = \mathbb{Q} \cup \{\infty\} \rightarrow \text{extended rational numbers}$$

$$\Gamma_\infty^3 < \Gamma_0^3(n) \leq \Gamma^3$$

$\Gamma^3$  must be one of the three types

$$\begin{pmatrix} 3a & b \\ c & 3d \end{pmatrix}, \begin{pmatrix} a & 3b \\ 3c & d \end{pmatrix}, \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

where  $a, b, c$ , and  $d$ , are rational integers and  $a, b, c, d \not\equiv 0 \pmod{3}$  in the third matrix.

### Lemma

*The action of  $\Gamma^3$  on  $\hat{\mathbb{Q}}$  is transitive.*



- We get the following imprimitive  $\Gamma^3$ -invariant equivalence relation on  $\hat{\mathbb{Q}}$  by  $\Gamma_0^3(n)$  as

$$\frac{r}{s} \approx \frac{x}{y} \quad \text{if and only if} \quad g^{-1}h \in \Gamma_0^3(n)$$

where  $g = \begin{pmatrix} r & * \\ s & * \end{pmatrix}$  and  $h$  is similar.

- From the above we can easily verify that

$$\frac{r}{s} \approx \frac{x}{y} \quad \text{if and only if} \quad ry - sx \equiv 0 \pmod{n}.$$

- The equivalence classes are called blocks and the block containing  $\frac{x}{y}$  is denoted by  $[\frac{x}{y}]$ .

- Since  $\Gamma$  acts transitively on  $\hat{\mathbb{Q}}$ , every suborbital  $O(\alpha, \beta)$  contains a pair  $(\infty, \frac{u}{n})$  for  $\frac{u}{n} \in \hat{\mathbb{Q}}, n \geq 0, (u, n) = 1$ . In this case, we denote the suborbital graph by  $G_{u,n}$  for short.
- As  $\Gamma$  permutes the blocks transitively, all subgraphs corresponding to blocks are isomorphic. Therefore we will only consider the subgraph  $F_{u,n}^3$  of  $G_{u,n}$  whose vertices form the block  $[\infty] = [\frac{1}{0}]$ , which is the set

$$\left\{ \frac{x}{y} \in \hat{\mathbb{Q}} \mid y \equiv 0 \pmod{n} \right\}$$

# Edge Conditions

## Theorem

$\frac{r}{s} \rightarrow \frac{x}{y}$  in  $F_{u,n}^3$  if and only if

- 1 If  $r \equiv 0 \pmod{3}$ , then  $x \equiv \pm ur \pmod{n}$ ,  $y \equiv \pm us \pmod{3n}$  and  $ry - sx = \pm n$  or,
- 2 If  $s \equiv 0 \pmod{3}$ , then  $x \equiv \pm ur \pmod{3n}$ ,  $y \equiv \pm us \pmod{n}$  and  $ry - sx = \pm n$  or,
- 3 If  $r, s \not\equiv 0 \pmod{3}$ , then  $x \equiv \pm ur \pmod{n}$ ,  $x \not\equiv \pm ur \pmod{3n}$ ,  $y \equiv \pm us \pmod{n}$ ,  $y \not\equiv \pm us \pmod{3n}$  and  $ry - sx = \pm n$ .

## Theorem

$\Gamma_0^3(n)$  permutes the vertices and edges of  $F_{u,n}^3$  transitively.

## Theorem

$F_{u,n}^3$  contains no directed triangles.

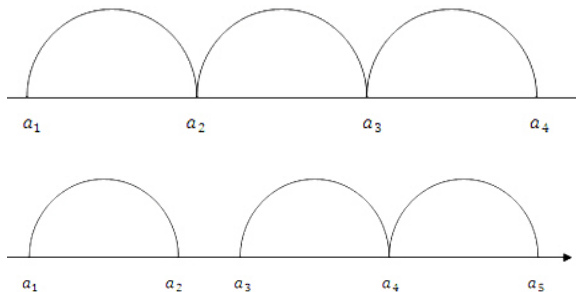
## Theorem

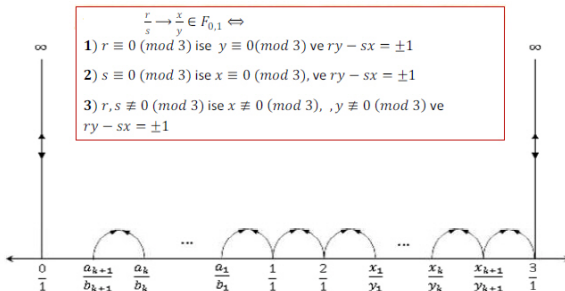
$F_{u,n}^3$  contains a 2-gon if and only if  $n \not\equiv 0 \pmod{3}$  and  $u^2 \equiv -1 \pmod{n}$ .

# Connectedness

## Definition

A subgraph  $K$  of  $F_{u,n}^3$  is called connected if any pair of its vertices can be joined by a path in  $K$ .





## Theorem

*The subgraph  $F_{0,1}^3$  is not connected.*

Since  $\infty \rightarrow \frac{0}{1}$  is an edge in  $F_{0,1}^3$  and  $F_{0,1}^3$  is periodic with period 3, we can do calculation only, in the strip  $\frac{0}{1} \leq \text{Re}z \leq \frac{3}{1}$ . It is clear that  $\infty$  is adjacent to  $\frac{0}{1}$  and  $\frac{3}{1}$  in  $F_{0,1}^3$ , but to no intermediate vertices. We shall show that the edge  $\frac{1}{1} \rightarrow \frac{2}{1} \in F_{0,1}^3$  is not adjacent to  $\infty$ . We assume that  $\frac{1}{1}$  can be joined to  $\infty$  by a path  $D$  in  $F_{0,1}^3$ . We may assume that  $D$  has the form

$\frac{1}{1} \rightarrow \frac{a_1}{b_1} \rightarrow \dots \rightarrow \frac{a_k}{b_k} \rightarrow \frac{0}{1} \rightarrow \frac{1}{0}$ , where some arrows may be reversed. From the above edge conditions, we easily see that  $\frac{1}{1} \leftrightarrow \frac{a_1}{b_1}$  if and only if  $a_1, b_1 \not\equiv 0 \pmod{3}$ . Then  $\frac{a_1}{b_1} \leftrightarrow \frac{a_2}{b_2}$  if and only if  $a_2, b_2 \not\equiv 0 \pmod{3}$ . If we proceed in this way, we obtain that  $\frac{a_k}{b_k} \leftrightarrow \frac{a_{k+1}}{b_{k+1}}$  if and only if  $a_{k+1}, b_{k+1} \not\equiv 0 \pmod{3}$ . This contradicts to  $\frac{a_{k+1}}{b_{k+1}} \leftrightarrow \frac{0}{1}$  since we get  $0 \not\equiv 0 \pmod{3}$  from the edge conditions. This shows that there is no path of the form  $D$ . Similarly, we can show that there is no path of the form  $\frac{2}{1} \rightarrow \frac{x_1}{y_1} \rightarrow \dots \rightarrow \frac{x_k}{y_k} \rightarrow \frac{x_{k+1}}{y_{k+1}} \rightarrow \frac{3}{1}$ . Consequently  $F_{0,1}^3$  is not connected.

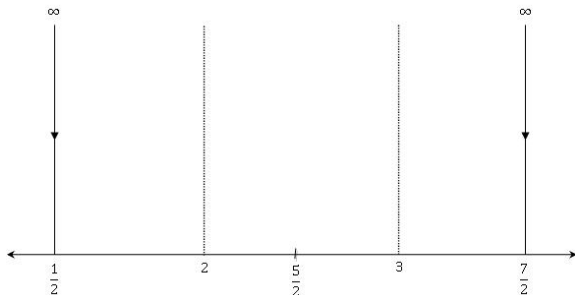


Figure: The subgraph  $F_{1,2}^3$

### Theorem

*The subgraphs  $F_{1,2}^3$ ,  $F_{3,2}^3$  and  $F_{5,2}^3$  are not connected.*

### Corollary

*All subgraphs  $F_{u,2}^3$  are not connected.*



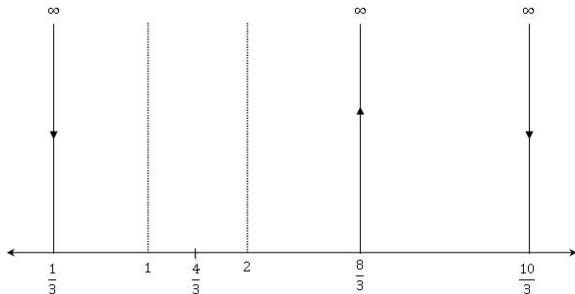


Figure: The subgraph  $F_{1,3}^3$

### Theorem

*The subgraph  $F_{1,3}^3, F_{2,3}^3, F_{4,3}^3, F_{5,3}^3, F_{7,3}^3$  and  $F_{8,3}^3$  are not connected.*

### Corollary

*All subgraphs  $F_{u,3}^3$  are not connected.*

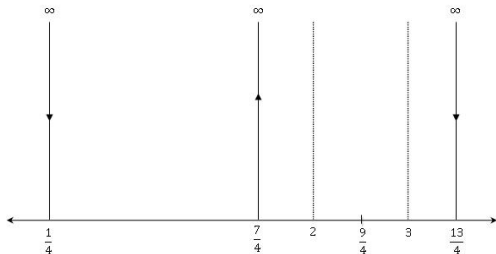


Figure: The subgraph  $F_{1,4}^3$

### Theorem







*The subgraphs  $F_{1,4}^3, F_{3,4}^3, F_{5,4}^3, F_{7,4}^3, F_{9,3}^3$  and  $F_{11,3}^3$  are not connected.*

### Corollary

*All subgraphs  $F_{u,4}^3$  are not connected.*

## Theorem

*If  $n \geq 5$ , then  $F_{u,n}^3$  is not connected.*

-  M. Akbaş: *On suborbital graphs for the modular group*, Bull. London Math. Soc. **33** (2001), 647-652.
-  N.L. Biggs and A.T. White: *Permutation groups and combinatorial structures*, LMS Lect. Note Ser., CUP, Cambridge, 1979.
-  A. Hurwitz: *Über die reduktion der binären quadratischen formen*, Math. Ann. **45** (1894), 85-117.
-  G.A. Jones, D. Singerman and K. Wicks: *The modular group and generalized Farey graphs*, LMS Lect. Note Ser. **160** (1991), 316-338.
-  P.M. Neumann: *Finite permutation groups and edge-coloured graphs and matrices*, In: M.P.J. Curran (Ed.), *Topics in group theory and computation*, Academic Press, London, 1977.
-  M. Newman: *The structure of some subgroups of the modular group*, Illinois J. Math., **6** (1962), 480-487.

# THANKS