

## Generalized Uniform Fuzzy Partition Analysis of Necessary and Sufficient Condition

Michal Holčápek and Vilém Novák and Irina Perfilieva

University of Ostrava  
Institute for Research and Applications of Fuzzy Modeling  
Centre of Excellence IT4Innovations  
Ostrava, Czech Republic

{Michal.Holcapek,Vilem.Novak,Irina.Perfilieva}@osu.cz

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- 1 Motivation
- 2 Generalized uniform fuzzy partition (GUFP)
  - Generating function
  - GUFP
- 3 A necessary and sufficient condition for GUFP
  - Type  $(K, h, h, x_0)$
  - Type  $(K, h, r, x_0)$
  - Triangular and raised cosine type
- 4 Conclusion
- 5 References

# Partitioning of the universe using fuzzy sets

- Ruspini (1969) was probably the first who relaxed the crisp borders of equivalence classes using fuzzy sets in his new approach to clustering. His idea initiated a deep research in the field of fuzzy partitions.
- Principally, we can recognize two basic directions in the definition of fuzzy partitions:
  - a generalization of the covering and disjointness axioms of standard partition. This direction was followed by e.g. Butnariu (1983), Ovchinnikov (1991), Dumitrescu (1992) and Klement, Moser (1997).
  - searching for appropriate axioms of fuzzy partitions ensuring the one-to-one correspondence between fuzzy partitions and fuzzy similarity relations. This direction was followed by e.g. Bhakat, Das (1992), Höhle (1996), Mesiar, Reusch, Thiele (1998) and Montes, Couso, Gil (2001).

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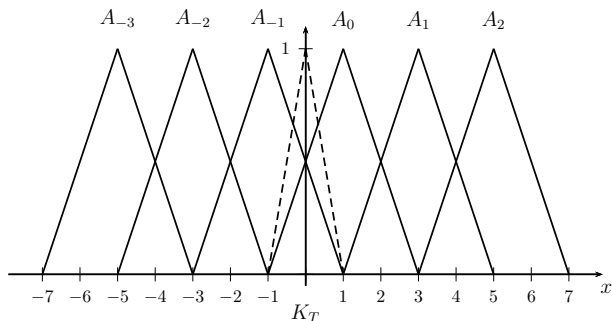
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# Application of fuzzy partitions

The use of fuzzy partitions can be recognized in various fields of application of fuzzy set theory:

- 1 fuzzy pattern recognition - Ruspini (1969), Bezdek (1978), Yang (1993)
- 2 fuzzy control - Höhle (1998), Moser (2009)
- 3 fuzzy relations equations - Perfilieva, Novák (2007), Štěpnička, de Baets, Nosková (2010)
- 4 fuzzy histogram estimation - Waltman, Kaymak, van den Berg (2005), Loquin, Strauss (2008)
- 5 fuzzy transform - Perfilieva (2004)

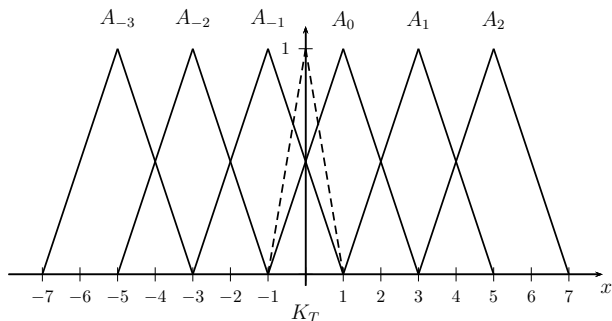
## Uniform fuzzy partition (UFP) of triangular type



two overlapping functions  $(K, h, x_0)$ ,  $x_k = x_0 + kh$



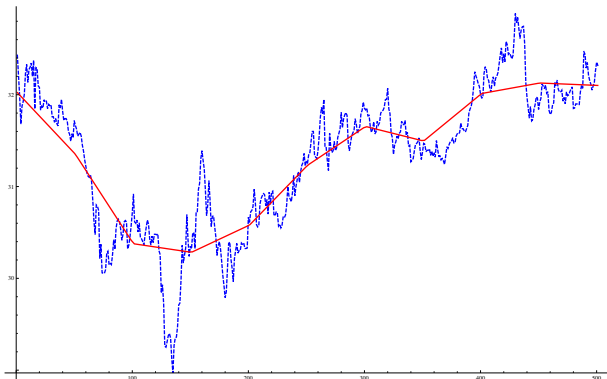
## Uniform fuzzy partition (UFP) of triangular type



$$K_T(x) = \max(1 - |x|, 0), \quad h = 2, \quad x_0 = 1$$

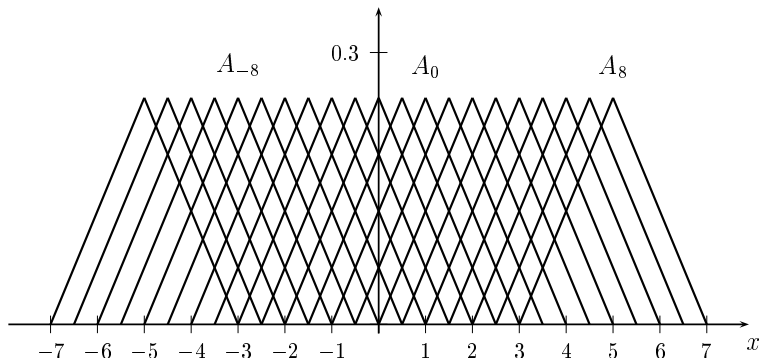
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## Trend estimation using F-transform based on UFP



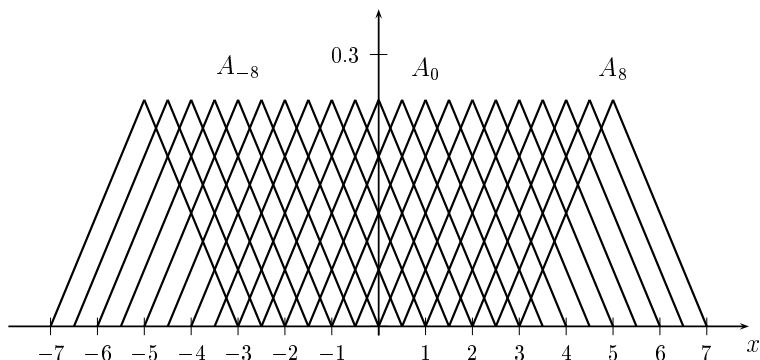
$$\hat{f}(t) = \sum_{k=1}^{\ell} \frac{\sum_{i=1}^N f(t_i) K_T \left( \frac{t_i - x_k}{h} \right)}{\sum_{i=1}^N K_T \left( \frac{t_i - x_k}{h} \right)} K_T \left( \frac{t - x_k}{h} \right)$$

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more than two overlapping functions  $(K, h, r, x_0)$ ,  $x_k = x_0 + kr$

# Generalized uniform fuzzy partition (GUFP) of triangular type

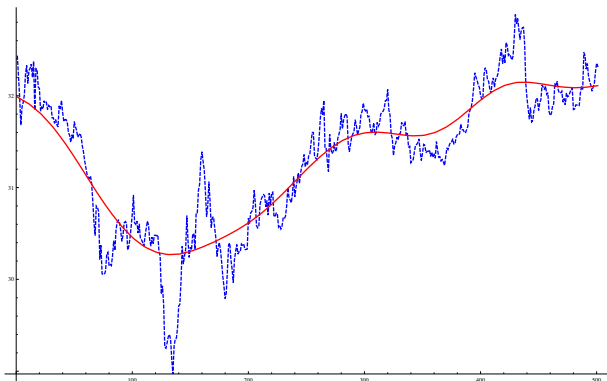


$$K_T(x) = \max(1 - |x|, 0), \quad h = 2, r = 0.5, x_0 = 1$$

more than two overlapping functions  $(K, h, r, x_0)$ ,  $x_k = x_0 + kr$



## Trend estimation using F-transform based on GUFFP



$$\hat{f}(t) = \sum_{k=1}^{\ell} \frac{\sum_{i=1}^N f(t_i) K_T \left( \frac{t_i - x_k}{h} \right)}{\sum_{i=1}^N K_T \left( \frac{t_i - x_k}{h} \right)} K_T \left( \frac{t - x_k}{h} \right)$$

# Generating function

## Definition

A function  $K : \mathbb{R} \rightarrow [0, 1]$  is said to be a *generating function* if  $K$  is an even integrable function (fuzzy set) which is non-increasing in  $[0, \infty)$  and

$$K(x) \begin{cases} > 0, & \text{if } x \in (-1, 1); \\ = 0, & \text{otherwise.} \end{cases}$$

A generating function  $K$  is said to be *normal* if  $K(0) = 1$ .

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A generating function  $K$  is said to be **normal** if  $K(0) = 1$ .

## Remark

In contrast to the standard approach to UFP, we use **generating functions** which are **not normal** in general.

# Generalized uniform fuzzy partition

## Definition

Let  $K$  be a normal generating function,  $h$  and  $r$  be positive real numbers and  $x_0 \in \mathbb{R}$ . A system of fuzzy sets  $\{A_i \mid i \in \mathbb{Z}\}$  defined by

$$A_i(x) = K \left( \frac{x - x_0 - i r}{h} \right)$$

for any  $i \in \mathbb{Z}$  is said to be a **generalized uniform fuzzy partition** (GUPF) of the real line determined by the quadruplet  $(K, h, r, x_0)$  if the **Ruspini's condition** is satisfied, i.e.,

$$S(x) = \sum_{i \in \mathbb{Z}} A_i(x) = 1$$

holds for any  $x \in \mathbb{R}$ . The parameters  $h$ ,  $r$  and  $x_0$  are called **spread**, **shift** and **central node**, respectively.

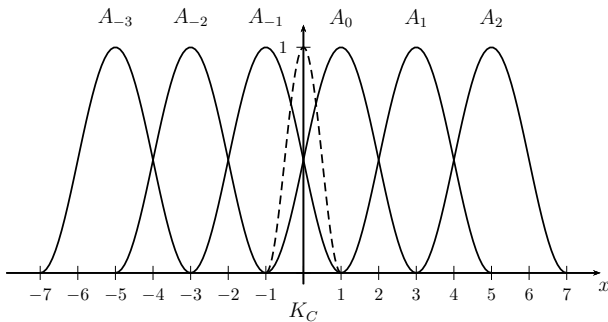


# Uniform fuzzy partition

## Definition

A generalized uniform fuzzy partition  $(K, h, r, x_0)$  is said to be a *uniform fuzzy partition (UFP)* if  $h = r$ .

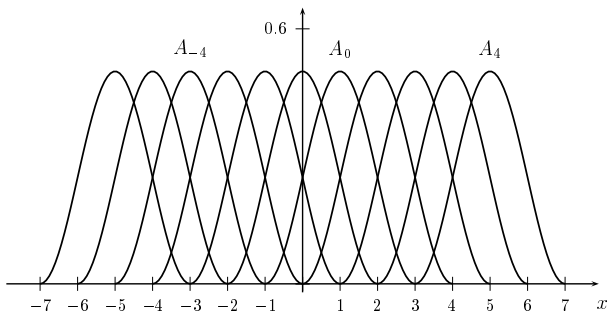
# Raised cosine uniform fuzzy partition



A part of the GUPF of the real line determined by  $(K_T, 2, 2, 1)$ .

$$h=r$$

# Raised cosine generalized uniform fuzzy partition



A part of the GUFP of the real line determined by  $(K_C, 2, 1, 0)$ ,

$$h=2r$$

# Natural questions

- Q1:** Can we provide a “simple condition” concerning  $K$ ,  $h$ , and  $r$  under which  $(K, h, r, x_0)$  determines a uniform fuzzy partition of the real line?
- Q2:** Are this condition necessary and sufficient, it means, equivalent to Ruspini's one?

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Simple observation for type  $(K, h, h, x_0)$  GUPFType  $(K_T, h, h, x_0)$ Let  $K_T$  be the triangular generating function and  $y \in [\frac{1}{2}, 1]$ . Then,

$$\int_{1-y}^y K_T(x) dx = \int_{1-y}^y (1-x) dx = \left[ x - \frac{x^2}{2} \right]_{1-y}^y = y - \frac{1}{2}.$$

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Type  $(K_C, h, h, x_0)$ 

Let  $K_C$  be the raised cosine generating function and  $y \in [\frac{1}{2}, 1]$ . Then,

$$\int_{1-y}^y K_C(x) dx = \int_{1-y}^y \frac{1}{2} (1 + \cos(\pi x)) dx = \left[ \frac{x}{2} + \frac{\sin(\pi x)}{2\pi} \right]_{1-y}^y = y - \frac{1}{2}.$$

Main theorem for UFP -  $(K, h, h, x_0)$  type

## Theorem

A quadruplet  $(K, h, h, x_0)$  determines a GUFP iff

$$\int_{1-y}^y K(x)dx = y - \frac{1}{2}$$

holds for any  $y \in [\frac{1}{2}, 1]$ .



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holds for any  $y \in [\frac{1}{2}, 1]$ .

## Corollary

If a quadruplet  $(K, h, h, x_0)$  determines a GUPP, then

$$\int_{-\infty}^{\infty} K(x)dx = 1.$$

Important lemma for type  $(K, h, r, x_0)$  - a generalization of the previous corollary

### Lemma

*If a quadruplet  $(K, h, r, x_0)$  determines a GUPP, then*

$$\int_{-\infty}^{\infty} K(x) dx = \frac{r}{h}.$$

Main theorem for type  $(K, h, r, x_0)$  GUFP

## Theorem

Put  $\alpha = \frac{r}{h}$ . Then, a quadruplet  $(K, h, r, x_0)$  determines a generalized uniform fuzzy partition iff

$$\sum_{i=1}^{\infty} \int_{i\alpha-y}^{y+(i-1)\alpha} K(x)dx = y - \frac{\alpha}{2}$$

holds for any  $y \in [\frac{\alpha}{2}, \alpha]$ .

# Alternative expression of main theorem for type $(K, h, r, x_0)$ GUPF

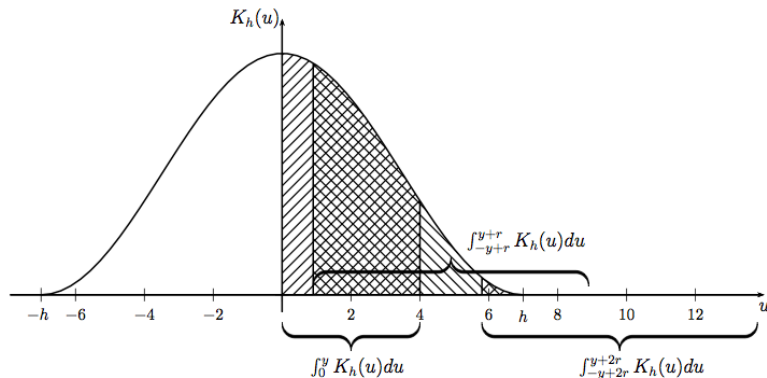
## Theorem

Put  $K_h(x) = K(\frac{x}{h})$ . A quadruplet  $(K, h, r, x_0)$  determines a generalized uniform fuzzy partition iff

$$\sum_{i=1}^{\infty} \int_{ir-y}^{y+(i-1)r} K_h(x) dx = y - \frac{r}{2}$$

holds for any  $y \in [\frac{r}{2}, r]$ .

## Graphical illustration of the previous equality



The content of crosshatched surfaces is equal to  $y - \frac{r}{2}$ .  
 $(y = 4, h = 7, r = 4.9)$

## Remark

*It is easy to see that  $(K, h, h, x_0)$  (i.e.,  $\alpha = \frac{r}{h} = 1$ ) determines a uniform fuzzy partition iff*

$$y - \frac{1}{2} = \sum_{i=1}^{\infty} \int_{i-y}^{y+i-1} K(x) dx = \int_{1-y}^y K(x) dx,$$

*for any  $y \in [\frac{1}{2}, 1]$ .*

# A straightforward consequence of the main theorem

## Corollary

*If  $(K, h, r, x_0)$  determines a generalized uniform fuzzy partition and  $\beta > 0$  is a real number then  $(K, \beta h, \beta r, x_0)$  determines it as well.*

# A straightforward consequence of the main theorem

## Corollary

*If  $(K, h, r, x_0)$  determines a generalized uniform fuzzy partition and  $\beta > 0$  is a real number then  $(K, \beta h, \beta r, x_0)$  determines it as well.*

## Proof.

According to the previous theorem, it follows from  $\frac{r}{h} = \frac{\beta r}{\beta h}$ . □



# A necessary and sufficient condition for particular cases

## Denotation

If  $K$  is a normal generating function, we use  $\text{GF}(K)$  to denote the family of all function  $\alpha \odot K$  for  $\alpha \in (0, 1]$ , where  $\alpha \odot K(x) = \alpha K(x)$ .

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## Theorem

*Let  $K \in \text{GF}(K_T)$  or  $K \in \text{GF}(K_C)$  such that  $\int_{-1}^1 K(x)dx = \frac{r}{h}$ . Then,  $(K, h, r, x_0)$  determines a GUPF iff  $\frac{h}{r} \in \mathbb{N}$ .*

## Open question

An open question is whether the previous two results may be generalized for an arbitrary normal generating function  $K$  (e.g., defined by splines) with or without the following assumption:

$$\int_{-1}^1 K(x)dx = 1.$$

### Hypotheses





*Let  $K$  be a normal generating function (satisfying or not the previous integral equality) and  $L \in \text{GF}(K)$  such that  $\int_{-1}^1 L(x)dx = \frac{h}{r}$ . Then,  $(L, h, r, x_0)$  determines a GUFP iff  $\frac{h}{r} \in \mathbb{N}$ .*






# Conclusion




- We defined a generalized uniform fuzzy partitions (GUPP) as a quadruplet  $(K, h, r, x_0)$ .
- We found an integral necessary and sufficient condition for GUPP equivalent to Ruspini's condition.
- We simplified this condition for the triangular and raised cosine type of GUPP.
- We provided an open question concerning a generalization of the previous simplification.

Thank you for your attention.

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